



#5.
Da
12/14/01

I hereby certify that this correspondence is
being deposited with the United States
Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents,
Washington, D.C. 20231,
On November 7, 2001

The Law Offices of Jonathan Alan Quine

By [Signature]

Amelia Groth

Attorney Docket No. 02-104910US
Client Ref. No. 0137.210US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael Lassner, *et al.*

Application No.: 09/849,452

Filed: May 4, 2001

For: Evolution of Plant Disease Response
Pathways to Enable the Development of
Plant Based BiOlogical Sensors and to
Develop Novel Disease Resistance
Strategies

Examiner: Unassigned

Art Unit: 1632

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR § 1.97 and
§ 1.98

Assistant Commissioner for Patents
Washington , D.C. 20231

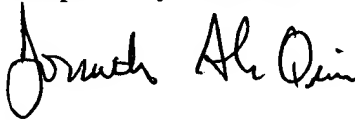
Sir:

The references cited on attached form PTO-1449 are being called to the
attention of the Examiner. Copies of the references are enclosed. It is respectfully requested
that the cited information be expressly considered during the prosecution of this application,
and the references be made of record therein and appear among the "references cited" on any
patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 50-0893. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Jonathan Alan Quine". The signature is fluid and cursive, with the first name "Jonathan" and last name "Quine" clearly distinguishable.

Jonathan Alan Quine
Reg. No. 41,261

THE LAW OFFICES OF JONATHAN ALAN QUINE
P.O. Box 458
Alameda, CA 94501
Tel: (510) 337-7871
Fax: (510) 337-7877

JAQ:ag

DEC 1 2001
PATENT & TRADEMARK OFFICE
JCI36

Please type a plus sign (+) inside this box →

+

Modified) PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/849,452

Filing Date

May 4, 2001

First Named Inventor

Michael Lassner

Group Art Unit

1632

Examiner Name

Unassigned

Attorney Docket Number

02-104910US

TECH CENTER 1600/2900

DEC 13 2001

RECEIVED

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Response
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Additional Enclosure(s) (please identify below):

receipt acknowledgment
postcard
1449
Cited References

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Jonathan Alan Quine, Reg. No. 41261, The Law Offices of Jonathan Alan Quine

Signature

Jonathan Alan Quine

Date

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: November 7, 2001

Typed or printed name

Amelia Groth

Signature

Amelia Groth

Date

11/7/01